

B2100A (Form 2100A) (12/15)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings, Inc.,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice.

Bank Julius Baer & Co. Ltd., Singapore  
Branch

Bank J. Safra Sarasin Ltd, Singapore  
Branch

Name of Transferee

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known) 57508.00

Patrik Roos  
Bank Julius Baer & Co. Ltd.  
Bahnhofstrasse 36  
CH-8010 Zurich, Switzerland

Amount of Claim: This is a partial transfer of  
claim. See attached  
Evidence of Transfer of  
Claim

Date Claim Filed: 10/30/2009

Phone: + 41 58 887 7336

Phone: \_\_\_\_\_

Last Four Digits of Acct #: n/a

Last Four Digits of Acct #: n/a

Name and Address where transferee payments  
should be sent (if different from above):

(same as above)

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: James D. M. Ch...

Date: 9/4/18

Davis Polk & Wardwell LLP as counsel for Transferee

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*



**J. SAFRA SARASIN**



Sustainable Swiss Private Banking since 1841

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Bank J. Safra Sarasin Ltd, Singapore Branch ("Transferor") unconditionally and irrevocably transferred to Bank Julius Baer & Co. Ltd., Singapore Branch ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 57508.00 in the nominal amount of **GBP 100,000** related to the securities with International Securities Identification Numbers listed on Schedule 1 hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED this 27 August 2018.

Bank J. Safra Sarasin Ltd, Singapore Branch

  
\_\_\_\_\_  
Authorised Signatories

Bank J. Safra Sarasin Ltd, Singapore Branch  
8 Marina View, #25-01 Asia Square Tower 1, Singapore 018960  
T: +65 6536 6848, F: +65 6536 3866, [www.jsafrasarasinsg](http://www.jsafrasarasinsg)  
Postal address: Robinson Road P.O. Box 1628, Singapore 903228, Co. Registration No.: T11FC0012E, GST  
Registration No.: M90366804J



**J. SAFRA SARASIN**



Sustainable Swiss Private Banking since 1841

SCHEDULE 1

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim	Date Claim Filed	Issuer	Nominal Amount/Quantity of Claim related to Security to be transferred
XS0305099359	57508.00	30 OCT 2009	LEHMAN BROTHERS HOLDINGS INC.	GBP100,000